

STATUTORY INSTRUMENTS SUPPLEMENT

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S T A T U T O R Y I N S T R U M E N T S

2023 No. 100

**THE PUBLIC PROCUREMENT AND DISPOSAL OF PUBLIC
ASSETS (RULES AND METHODS FOR PROCUREMENT OF
SUPPLIES, WORKS AND NON-CONSULTANCY SERVICES)
REGULATIONS, 2023**

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STATUTORY INSTRUMENTS

2023 No. 100.

The Public Procurement and Disposal of Public Assets (Rules and Methods for Procurement of Supplies, Works and Non-Consultancy Services) Regulations, 2023

(Made under sections 96(1) and 96A of the Public Procurement and Disposal of Public Assets Act, 2003, Act No. 1 of 2003)

IN EXERCISE of the powers conferred upon the Minister responsible for finance by sections 96(1) and 96A of the Public Procurement and Disposal of Public Assets Act, 2003, in consultation with the Minister responsible for the Kampala Capital City Authority and the Minister responsible for local governments, and on the recommendation of the Authority, these Regulations are made, this 26th day of September, 2023.

PART I—PRELIMINARY

1. Title and commencement.

(1) These Regulations may be cited as the Public Procurement and Disposal of Public Assets (Rules and Methods for Procurement of Supplies, Works and Non-Consultancy Services) Regulations, 2023.

(2) These Regulations shall come into force on the 5th day of February, 2024.

2. Interpretation.

In these Regulations, unless the context otherwise requires—

“Act” means the Public Procurement and Disposal of Public Assets Act, 2003;

“environmentally and socially responsive” means the capacity of a procurement to contribute positively to the quality of life and of the environment and the community that is affected by the procurement;

“single source provider” means a provider invited from among a number of providers who meet the requirements of a procurement;

“sole source provider” means a situation where only one provider exists, where only that provider meets the requirements of a procurement, due to a patent, monopoly or other reason.

PART II—INITIATION OF PROCUREMENT REQUIREMENTS

3. Initiation of procurement requirement and confirmation of funding.

(1) A procurement requirement shall be initiated using Part I of Form 5 in Schedule 1.

(2) Initiation for a procurement requirement shall include—

- (a) a description of the supplies, works or non-consultancy services required;
- (b) the estimated value of the supplies, works or non-consultancy services;
- (c) confirmation of availability of funds to support the procurement; and
- (d) approval of the procurement requirement as required under subregulation (5).

(3) In estimating the value of the supplies, works or non-consultancy services required and confirming the availability of funds required under subregulation (2) (c), a procuring and disposing entity shall—

- (a) base the estimate on an assessment of the market price;
- (b) seek technical advice, where required; and
- (c) ascertain that the confirmation of availability of funding takes into account the total cost of acquiring the requirement.

(4) Notwithstanding any provision in these Regulations, the supplies specified in Schedule 2 shall only be procured from

the manufacturer of the supplies or the authorised agents of the manufacturers, and where required, the procuring and disposing entity shall make full payment for the supplies before performance of a contract by a provider, as specified in regulation 46 of the Public Procurement and Disposal of Public Assets (Contracts) Regulations, 2023.

(5) A procuring and disposing entity shall not initiate the procurement of a supply referred to in subregulation (4), without the approval of the Attorney General.

(6) A specific reference number shall be allocated to each procurement requirement at the initiation stage, using the numbering system specified in guidelines issued by the Authority.

(7) The approval of a procurement requirement referred to under subregulation (2) shall be evidenced by the signature of the Accounting Officer.

4. Availability of funds.

(1) A procuring and disposing entity shall not initiate any procurement for which funds are not available or adequate in the budget of the procuring and disposing entity except where—

- (a) the delivery of supplies, works or non-consultancy services and the consequent payments to a provider are to be effected under subsequent financial years;
- (b) in the case of framework contracts, funds are to be committed at the time of issue of each specific call off order; or
- (c) the Secretary to the Treasury confirms in writing that the required funding shall be made available.

(2) The availability of funds shall be evidenced by budgeted or supplementary funds for the current financial year or an allocation for subsequent years.

(3) The Accounting Officer or an officer authorised by the Accounting Officer shall confirm the availability of funds.

(4) Notwithstanding regulation (1), a procurement may be initiated before the receipt of funds, but a contract shall not be signed before confirmation of the availability of funds.

5. Assessment of market price.

In order to determine the market price of a procurement requirement, the Accounting Officer shall use the prices of supplies, works or non-consultancy services that conform to the guidelines on prices issued by the Authority.

PART III—METHODS OF PROCUREMENT

6. Choice of procurement method.

(1) A procuring and disposing entity shall use the procurement methods specified in Part VI of the Act for all procurement requirements.

(2) The choice of procurement method shall be in accordance with these Regulations and guidelines issued by the Authority.

(3) The choice of procurement method shall be determined by—

- (a) the estimated value of the requirement;
- (b) the circumstances relating to the requirement; and
- (c) the type of procurement, whether supplies, works or non-consultancy services.

Circumstances relating to the procurement

7. Emergency situations.

(1) An emergency situation may be used to determine the procurement method to be used notwithstanding the estimated value of the procurement requirement.

(2) The Procurement and Disposal Unit shall make a recommendation to use an emergency situation as the criterion for determining the choice of a procurement method.

(3) The recommendation under subregulation (2) shall include a comprehensive justification for the choice of the procurement method and the reasons giving rise to the emergency situation.

(4) Where an emergency situation is used as the criterion for determining the choice of a procurement method, competition shall not be excluded from the procurement process solely on the basis of the emergency situation.

(5) A procuring and disposing entity shall obtain maximum competition to the extent practicable in procurement under an emergency situation.

(6) Where the value of a procurement requirement requires the use of open bidding method, a procuring and disposing entity shall in descending order, consider to use—

- (a) the restricted bidding methods; or
- (b) the quotation method,

with the following appropriate modifications, as may be applicable—

- (i) a bidding period which is less than the minimum bidding period specified in these Regulations;
 - (ii) a shortlist of at least two bidders;
 - (iii) simplified documentation;
 - (iv) a simplified bid submission method;
 - (v) a simplified evaluation methodology;
 - (vi) any other modification which would make the use of the competitive method possible; and
- (c) the direct procurement method.

8. Limited number of providers.

(1) The choice of procurement method may be determined by the availability of the supplies, works or non-consultancy services from only a limited number of providers or a sole provider, regardless of the estimated value of the requirement.

(2) The number of providers may be limited by—

- (a) the existence of copyrights, intellectual property rights, patent or proprietary rights;
- (b) the existence of a monopoly;
- (c) existing laws;
- (d) an existing standardisation policy;
- (e) the need for compatibility or interchangeability with existing supplies, works or non-consultancy services;
- (f) the existence of exclusive rights given to a provider for the servicing and maintenance of objects of procurement; or
- (g) any other justified circumstances.

(3) Where there is a limited number of providers, the Procurement and Disposal Unit shall make a recommendation to procure the supplies, works or non-consultancy services, from a limited number of providers as the criterion for determining the choice of a procurement method and the recommendation shall include—

- (a) a comprehensive analysis of the market for the required supplies, works or non-consultancy services made at the level of manufacturer and not at the level of the distributor or local agent and the number of potential providers in the market;
- (b) full details of the circumstances in subregulation (2) which are applicable to the procurement;

- (c) a clear statement as to why other sources cannot be used so as to procure the requirement using a competitive procurement method; and
- (d) an analysis of the statement of requirements, with justification for any parts of the statement of requirements that restricts the number of the potential providers.

(4) The use of availability of supplies, works or non-consultancy services from a limited number of providers as a criterion to determine the choice of a procurement method shall not be based on a brand name, make or model, unless the use of the brand name, make or model has been authorised under a standardisation policy or where the use is for reasons of compatibility or continuity.

9. Compatibility and continuity.

(1) A procuring and disposing entity may use direct procurement for compatibility with the existing supplies, works or non-consultancy services or for continuity with an existing provider.

(2) Where compatibility or continuity are used as the basis for direct procurement method, the Procurement and Disposal Unit shall in the recommendation to use direct procurement on grounds of compatibility or continuity include a comprehensive justification of the need for compatibility or continuity.

(3) The recommendation to use direct procurement on grounds of compatibility or continuity shall contain—

- (a) an analysis of the statement of requirements explaining the need for or the benefits of compatibility or continuity, which may include—
 - (i) technical reasons;
 - (ii) legal reasons, including continued liability or preservation of warranties;

- (iii) measures taken to achieve efficiency and value for money; and
 - (iv) any other reasons, including the use of experience acquired or savings in mobilisation costs; and
- (b) a clear statement as to why other items or providers cannot reasonably be used to subject the procurement requirement to a competitive procurement method.

(4) Convenience or the lack of an adequate statement of requirements shall not be used as the reasons for recommending compatibility or continuity as the basis for a recommendation for the use of the direct procurement method.

10. Splitting of requirements.

(1) A procuring and disposing entity shall not split a procurement requirement where the supplies, works or non-consultancy services may be procured under a single contract.

(2) Notwithstanding subregulation (1), a procuring and disposing entity may split a procurement requirement, where the split offers a clear and calculable social, economic or technical advantage.

11. Division into lots.

(1) A procuring and disposing entity may, at the commencement of a procurement process, divide the procurement requirements into separate lots, where it is anticipated that the award of several separate contracts shall result in optimum value for the procuring and disposing entity.

(2) Where a procurement requirement is divided into lots, which may result in separate contracts, the choice of procurement method shall not be determined by the estimated value of each lot but by the total value of all the lots.

(3) Where a number of lots are to be procured under the same procurement process, the bidding documents shall clearly state—

- (a) the number of lots included in the procurement process;
- (b) the nature of each lot;
- (c) the number of lots for which a bidder may bid; and
- (d) the method of evaluating each of the lots or multiple lots.

Rules and conditions for use of procurement methods

12. Rules and conditions for using a specific procurement method.

The rules in regulations 13 to 27, respectively, shall apply for the methods of procurement, as may be specified.

13. Rules for open domestic bidding.

(1) Procurement using the open domestic bidding method shall be open to all bidders and shall be by public advertisement of a bid notice in at least one newspaper of nation-wide circulation.

(2) Notwithstanding subregulation (1), a bidder may be selected through a pre-qualification process, following publication of a pre-qualification notice in at least one newspaper of nation-wide circulation.

(3) The Procurement and Disposal Unit shall make a submission to the Contracts Committee in respect of procurement under open domestic bidding using Part II of Form 5 in Schedule 1.

(4) For the avoidance of doubt, the pre-qualification process referred to in subregulation (2) is not the pre-qualification process referred to in regulation 32.

14. Conditions for open domestic bidding

(1) Bidding documents may be issued to prospective bidders at a fee.

(2) The cost of bidding documents shall be approved by the Contracts Committee.

15. Rules for open international bidding.

(1) Procurement using the open international bidding shall be open to all bidders and shall be by public advertisement of a bid notice in at least one publication of wide international circulation.

(2) Notwithstanding subregulation (1), a bidder may be selected through a pre-qualification process, following publication of a pre-qualification notice in at least one publication of wide international circulation.

(3) The Procurement and Disposal Unit shall make a submission to the Contracts Committee in respect of procurement under open international bidding using Part II of Form 5 in Schedule 1.

(4) For the avoidance of doubt, the pre – qualification process referred to in subregulation (2) is not the pre-qualification process referred to in regulation 32.

16. Conditions for open international bidding.

(1) Open international bidding may be used instead of open domestic bidding where competition may not be effective without foreign bidders or where involvement of foreign bidders in the bidding process may increase value for money.

(2) A bidder resident in Uganda may participate in a bid advertised under open international bidding.

(3) Bidding documents may be issued to prospective bidders at a fee.

(4) The cost of bidding documents shall be approved by the Contracts Committee.

17. Rules for restricted domestic bidding and restricted international bidding.

(1) Procurement using the restricted domestic bidding or restricted international bidding method shall be by selection of a bidder using a shortlist.

(2) A procuring and disposing entity shall use the restricted domestic bidding method or the restricted international bidding method, as may be applicable, to procure the supplies specified in Schedule 2.

(3) The procurement and disposal unit shall make a submission to the contracts committee in respect of procurement under restricted domestic bidding or restricted international bidding, using Part II of Form 5 in Schedule 1.

18. Conditions for restricted domestic bidding.

- (1) Restricted domestic bidding may be used where—
 - (a) the supplies, works or non-consultancy services are available only from a limited number of providers;
 - (b) there is insufficient time for an open bidding method in an emergency situation; or
 - (c) the estimated value of the procurement does not exceed the threshold stated in the procurement guidelines issued under the Act.

(2) The procurement process under restricted domestic bidding shall be in accordance with the following requirements—

- (a) the invitation to bid shall be addressed to a limited number of potential bidders without advertising the opportunity;
- (b) the selection of bidders shall be in accordance with the procedure prescribed under these Regulations; and
- (c) a public bid opening shall be held in accordance with these Regulations.

(3) For the avoidance of doubt, the supplies in Schedule 2 shall only be procured from the manufacturer of the supplies or the authorised agent of the manufacturer.

19. Conditions for restricted international bidding

(1) Restricted international bidding may, in descending order, be used where—

- (a) the supplies, works or non-consultancy services are available only from a limited number of providers;
- (b) the estimated value of the procurement does not exceed the threshold stated in the procurement guidelines; and
- (c) there is insufficient time for an open bidding procedure in an emergency situation.

(2) The procurement process under restricted international bidding shall be in accordance with the following requirements—

- (a) the invitation to bid shall be addressed to a limited number of potential bidders without advertising the opportunity;
- (b) the selection of bidders shall be in accordance with these Regulations; and
- (c) a public bid opening shall be held in accordance with these Regulations.

(3) For the avoidance of doubt, the supplies in Schedule 2 shall only be procured from the manufacturer of the supplies or the authorised agent of the manufacturer.

20. Rules for the quotation method.

(1) Procurement using the quotation method shall be by selection of bidders using a shortlist which shall have at least six providers.

(2) A procurement process under the quotation method shall not require the opening of the quotations to be held at a public bid opening session.

(3) The Procurement and Disposal Unit shall make a submission to the Contracts Committee in respect of procurement under the quotation method using Part II of Form 5 in Schedule 1.

21. Conditions for use of the quotation method.

(1) The quotation method may, in descending order of priority, be used where—

- (a) the estimated value of the procurement does not exceed the threshold specified in the procurement guidelines made under the Act; or
- (b) there is insufficient time for an open or restricted bidding procedure such as in an emergency situation.

(2) The process under the quotation method shall be as follows—

- (a) the solicitation document shall be addressed to a limited number of potential bidders without advertising;
- (b) the selection of the bidders shall be in accordance with these Regulations; and
- (c) the bid opening process shall not be open to the public and shall be held in accordance with these Regulations.

22. Rules for micro procurement.

(1) A micro procurement process shall not require—

- (a) the issuance of bidding documents;
- (b) the submission of bids;
- (c) a public bid opening session;
- (d) the use of an Evaluation Committee;
- (e) the issuance of a notice of best evaluated bidder; and
- (f) adjudication by the Contracts Committee.

(2) A procuring and disposing entity may delegate to a user department, the authority to undertake micro procurement of the value specified in the guidelines or the limit set by the Contracts Committee, which shall be lower than the value in the guidelines.

23. Conditions for micro procurement.

(1) A procuring and disposing entity may engage in micro procurement for supplies, works or non-consultancy services, as the case may be, where the value of the supplies, works or non-consultancy services is below the threshold stated in guidelines made under the Act.

(2) Where a procuring and disposing entity engages in micro procurement—

- (a) a comparison shall be made for at least three quotations;
- (b) the original invoice or receipt evidencing the supplies, works or non-consultancy services procured and the price paid shall be obtained and signed by the official procuring the supplies, works or non-consultancy services; and
- (c) the Accounting Officer shall ensure that value for money is obtained to the extent practical under the procurement procedure.

24. Rules for direct procurement.

(1) A procuring and disposing entity shall use the appropriate documents from the standard documentation, with the necessary modifications, for the requirements of the procurement using the direct procurement method.

(2) The Procurement and Disposal Unit shall make a submission to the Contracts Committee in respect of procurement using the direct procurement method using Part II of Form 5 in Schedule 1.

(3) A bid for direct procurement shall be in writing.

25. Conditions for use of the direct procurement method.

(1) Direct procurement may be used where—

- (a) there is insufficient time for any other procedure such as in an emergency situation;

- (b) the supplies, works or non-consultancy services are available from only one provider;
- (c) the contract may be extended for additional supplies, works or non-consultancy services of a similar nature and no advantage may be obtained by further competition, if the prices on the extended contract are reasonable;
- (d) additional supplies, works or non-consultancy services are required and have to be compatible with existing supplies, works or non-consultancy services and it is advantageous or necessary to purchase the additional supplies, works or non-consultancy services from the original supplier, provided the prices on the additional contract are reasonable; or
- (e) it is essential or preferable to purchase additional supplies, works or non-consultancy services from the original supplier to ensure continuity for downstream work, including continuity in technical approach, use of experience acquired or continued professional liability, if the prices on the additional contract are reasonable.

(2) Where the direct procurement method is used in the circumstances set out in subregulation (1) (c), (d) or (e), the value of the additional supplies, works or non-consultancy services shall not exceed fifteen percent of the value of the original or existing supplies, works or non-consultancy services and the contract.

(3) Where the direct procurement method is used more than once in the circumstances set out in subregulation (2), the cumulative value of the additional supplies, works or non-consultancy services shall not exceed twenty five percent of the value of the original or existing supplies, works or non-consultancy services.

26. Rules for the electronic reverse auction method.

(1) A procuring and disposing entity shall use the electronic reverse auction method for the procurement of supplies, by inviting

qualified bidders to present in successive stages, successively lowered bids during a scheduled period.

(2) The electronic reverse auction method shall be used for—

- (a) the procurement of standardised, simple, and generally available off-the-shelf supplies from a number of providers who are qualified to participate in the electronic reverse auction; or
- (b) procurement under a framework contract agreement, using second-stage competition.

(3) The electronic reverse auction method shall not be used for works, and non-consultancy services, except with prior approval of the Authority.

(4) The Procurement and Disposal Unit shall make a submission to the Contracts Committee for procurement using the electronic reverse auction method using Part II of Form 5 in Schedule 1.

27. Conditions for use of the electronic reverse auction method.

(1) A procuring and disposing entity shall in the bid notice indicate that the electronic reverse auction method is to be used for the procurement and shall invite the responsive bidders to participate in the electronic reverse auction on the specified date and time.

(2) The procuring and disposing entity shall at each stage of the auction, provide to the bidders information which is sufficient to enable the bidders ascertain the respective relative ranking of each bidder, at every stage.

(3) The procuring and disposing entity shall not disclose the identities of the bidders who participate in a procurement process using the electronic reverse auction method, except after the end of the auction.

PART IV—RULES AND PROCESSES FOR THE PROCUREMENT
OF SUPPLIES, WORKS AND NON-CONSULTANCY SERVICES

28. Use of pre-qualification.

(1) A procuring and disposing entity may use pre-qualification under open domestic bidding or open international bidding, to obtain a shortlist of bidders.

(2) Pre-qualification shall be used where—

- (a) the supplies, works or non-consultancy services are highly complex, specialised or require detailed design or methodology;
- (b) the cost of preparing a detailed bid would discourage competition;
- (c) the evaluation is particularly detailed and the evaluation of a large number of bids would require excessive time and resources from a procuring and disposing entity; or
- (d) the bidding is for groups of procurements which are similar, for the purpose of preparing a shortlist.

(3) The Procurement and Disposal Unit shall make a submission to the Contracts Committee to use pre-qualification using Form 6 in Schedule 1.

29. Pre-qualification notices and documents.

(1) A procuring and disposing entity shall by public advertisement of a pre-qualification notice, invite potential bidders to obtain the pre-qualification documents from the procuring and disposing entity.

(2) A pre-qualification notice shall be published in at least one newspaper of wide circulation.

(3) A procuring and disposing entity shall, for a pre-qualification exercise, use—

(a) the standard format of the pre-qualification notice issued by the Authority; and

(b) the pre-qualification documents issued by the Authority.

(4) A pre-qualification notice shall contain—

(a) a summary of the scope of the procurement;

(b) the key requirements and criteria for pre-qualification;

(c) instructions on obtaining the pre-qualification document;

(d) the deadline for submission of pre-qualification applications; and

(e) the physical address for submission of documents.

(5) Pre-qualification documents shall contain—

(a) details of the scope of the procurement;

(b) a statement of the requirements and criteria for pre-qualification;

(c) a statement of the information required from a provider;

(d) instructions on the location and deadline for submission of pre-qualification applications; and

(e) instructions on the sealing and labelling of pre-qualification applications.

(6) The information required from a provider under subregulation (5 (c)) shall be the minimum information required to make a judgment on the suitability of the provider and shall not be information that deters or discourages a provider from applying for pre-qualification.

(7) The Contracts Committee shall approve all prequalification notices and documents before the notices and documents are issued.

30. Bidding periods in pre-qualification.

(1) The bidding period for pre-qualification shall start from the date of the first publication of the pre-qualification notice or the date of availability of the pre-qualification documents, whichever is later, and end on the date of the deadline for submission of pre-qualification applications.

(2) In determining the bidding period for pre-qualification, a procuring and disposing entity shall take into account the factors in regulation 55.

(3) The minimum bidding period for pre-qualification shall be—

- (a) ten working days, for open domestic bidding; and
- (b) twenty working days, for open international bidding.

31. Evaluation for pre-qualification.

(1) The evaluation for pre-qualification shall be based on the capability and resources of a bidder, taking into account—

- (a) experience in executing similar contracts;
- (b) performance on similar contracts;
- (c) capabilities with respect to equipment and manufacturing facilities;
- (d) the qualifications and experience of the personnel of the bidder;
- (e) the financial capability of the bidder to perform the proposed contract;
- (f) the facilities or representation at or near the location for performance of the contract;
- (g) the available capacity to undertake the assignment; and
- (h) any other relevant criteria.

(2) The criteria for the evaluation for pre-qualification shall be limited to that necessary for performance of the intended contract and shall not be unduly restrictive.

(3) The assessment of pre-qualification applications shall be in accordance with the requirements and criteria stated in the pre-qualification document and shall be recorded using Form 7 in Schedule 1.

(4) The procuring and disposing entity shall display the list of pre-qualified bidders on the notice board of the procuring and disposing entity for at least ten working days and shall after the pre-qualification process, at the request of a bidder, debrief the bidder.

32. Pre-qualification for groups of similar procurements.

(1) Where the pre-qualification process is for a group of procurements which are similar, the procuring and disposing entity shall apply regulations 29, 30 and 31 of these Regulations.

(2) The pre-qualification notice and document, shall specify the period of time for which the pre-qualification is to be used.

(3) The list of pre-qualified providers for groups of procurements which are similar shall be updated periodically.

(4) The procuring and disposing entity shall verify the information submitted by a provider under this regulation, before that provider is shortlisted.

PART V—BIDDING DOCUMENTS

33. Rules for preparing bidding documents.

(1) A procuring and disposing entity shall use the appropriate standard bidding documents issued by the Authority for each procurement requirement.

(2) The selection of the appropriate document shall depend on the type, value and complexity of the procurement requirement.

(3) The following rules shall apply to preparation of bidding documents for each procurement—

- (a) changes to the instructions to bidders shall be effected only by an entry in the bid data sheet;
- (b) changes to the general conditions of contract shall be effected only by an entry to the special conditions of a contract;
- (c) the statement of requirements shall be modified as may be required; and
- (d) the evaluation and qualification criteria shall be modified in accordance with the options available in the bidding documents and these Regulations.

(4) Textual or other changes shall not be made to the section on instructions to bidders, general conditions of contract section or bidding forms section except in accordance with subregulation (3).

(5) A procuring and disposing entity shall apply for a deviation from the use of standard documents in accordance with the procedure specified in the Public Procurement and Disposal of Public Assets Regulations.

34. Statement of requirements.

(1) A procuring and disposing entity shall state the supplies, works or non-consultancy services required in a statement of requirements.

(2) A procuring and disposing entity shall in the statement of requirements inform a bidder of how effectively the bidder may meet the statement of requirements.

(3) A procuring and disposing entity shall prepare a description of the statement of requirements, in a manner that determines that the supplies, works or non-consultancy services are fit for the purpose for which they are being procured and are of the appropriate quality.

(4) A statement of requirements, shall where applicable, state that the procurement shall be a subject of a reservation scheme and shall in this case state the particulars required of the persons who are eligible to participate in the procurement process.

(5) A statement of requirements, shall where applicable, be environmentally and socially responsive.

35. Statement of requirements for supplies.

(1) A procuring and disposing entity shall include in the statement of requirements for supplies—

- (a) a list of the supplies and the quantities of the supplies;
- (b) the generic, functional or performance specifications;
- (c) the relevant drawings, where applicable;
- (d) a delivery and completion schedule;
- (e) a description of any incidental works or non-consultancy services required;
- (f) where applicable, that procurement process shall be a subject of a reservation scheme; and
- (g) where applicable, that the supplies shall be environmentally and socially responsive.

(2) A specification shall contain a complete, precise and unambiguous description of the supplies required and shall include—

- (a) a clear definition of the scope of the supplies;
- (b) the purpose and objectives of the proposed purchase;
- (c) a full description of the requirement;
- (d) a generic specification to an appropriate level of detail;
- (e) a functional description of the qualities, including any environmental or safety features required of the subject of the procurement;

- (f) the performance parameters, including outputs, timescales, and any indicators or criteria by which the satisfactory performance of the specification may be judged;
- (g) process and materials descriptions;
- (h) dimensions, symbols, terminology, language, packaging, marking and labeling requirements;
- (i) the common specification standard relating to the supplies;
- (j) the relevant industry standard; and
- (k) where applicable, a statement that a product demonstration may be required for novel supplies.

36. Statement of requirements for non-consultancy services.

A procuring and disposing entity shall include in the statement of requirements for non-consultancy services—

- (a) any relevant background and the purpose of the required non-consultancy services;
- (b) a list of the specific tasks or duties to be performed;
- (c) a completion schedule or period of performance;
- (d) any schedule of deliverables, outputs or targets to be achieved by the provider;
- (e) the management and reporting lines to the procuring and disposing entity and the specific administrative arrangements and reporting requirements that shall apply to the non-consultancy services;
- (f) the industry standards applicable to the assignment;
- (g) a description of the incidental supplies or works required; and
- (h) where applicable, that the procurement process shall be a subject of a reservation scheme.

37. Statement of requirements for works.

(1) A procuring and disposing entity shall include in the statement of requirements for works—

- (a) a clear description of the scope and location of the works;
- (b) the specifications;
- (c) the drawings;
- (d) bills of quantities or activity schedules; and
- (e) a completion schedule.

(2) The statement of requirements shall also include, where appropriate—

- (a) a background narrative to the required works;
- (b) the objectives of the required works;
- (c) a list of the specific tasks to be performed;
- (d) the supervision requirements, working relationships and specific administrative arrangements to be applied;
- (e) a common specification or standard relating to the works;
- (f) the industry standard applicable to the assignment;
- (g) a description of any incidental supplies or non-consultancy services required;
- (h) where applicable, that the procurement process shall be a subject of a reservation scheme;
- (i) that the works shall be environmentally and socially responsive;
- (j) a delivery schedule for supplies associated with or to be incorporated into the works; and
- (k) any other information relevant to the procurement.

38. Use of brand names.

(1) Specifications shall not be issued with reference to a particular trademark, brand name, patent, design, type, specific origin, producer, manufacturer, catalogue or numbered item.

(2) Where there is no other sufficiently precise or intelligible way of characterising a requirement except by the use of a reference in subregulation (1), the description shall be used, followed by the words “or equivalent”, and shall only serve as a benchmark during the evaluation process.

(3) Notwithstanding subregulation (1), where a standardisation policy is adopted by a competent authority, the corresponding trademark, brand name, patent, design, type, specific origin, manufacturer, producer, catalogue or numbered item may be used in the statement of requirements.

39. Standardisation.

Where a standardisation policy is adopted by a competent authority, the accounting officer of a procuring and disposing entity shall submit the following information to the Authority—

- (a) the technical, economic and logistical justification for the standardization policy;
- (b) a copy of the correspondence with a competent authority or technical expert consulted in relation to the specification;
- (c) the justification for the selection of the proposed standardised supplies, including their advantages over alternative supplies;
- (d) projections for future procurement of the object of standardisation;
- (e) plans to ensure transparency and value for money in the procurement of the standardised supplies; and
- (f) any other information relevant to the standardisation policy relevant to the procurement.

40. Common specification standard in statements of requirements.

(1) A statement of requirements shall use common specification standards issued by the competent authority to support specifications and other definitions of the procurement requirement.

(2) A procuring and disposing entity shall select a common specification standard taking into account the nature of the procurement requirement and the anticipated location or region of use of the procurement requirement.

(3) Before issuing the common specification standards, a competent authority shall, using the following order of priority, take into account—

- (a) national standards set by the Uganda National Bureau of Standards;
- (b) international standards set by the International Standards Organisation, where appropriate standards exist;
- (c) standards set by specialised agencies or bodies such as WHO, UN or IATA;
- (d) internationally-recognised standards set by the competent authority of another country, that are used extensively and are appropriate for use in Uganda, such as BS (UK), AS (USA), or SAS (RSA); and
- (e) standards or particular specifications used by a trade or profession and obtained from the association of the trade or profession.

41. Procedure for obtaining the approval of a competent authority for alternative specification standards.

(1) Where a competent authority has not issued specification standards, a procuring and disposing entity shall identify a suitable standard using the order of priority stated in regulation 40 and apply to the competent authority to adopt it as a common specification standard.

(2) The application by a procuring and disposing entity to the competent authority to adopt a common specification standard for future use shall include—

- (a) a full description of the subject of the procurement, including the proposed statement of requirements;
- (b) a statement of any similar specification standard already issued by the competent authority and the reason why it is unsuitable or the modifications required;
- (c) any appropriate existing standard known to the procuring and disposing entity;
- (d) an indication of whether the requirement is a one-off or a regular requirement and if it is regular, the estimated number and value of similar future requirements; and
- (e) any other relevant information.

(3) The competent authority shall, within fifteen working days after receipt of the application, advise on the appropriate specification standard.

Preparing bidding documents

42. Preparation of bidding documents.

A procuring and disposing entity shall, when preparing each bidding document, ascertain that—

- (a) the statement of requirements defines the requirement precisely and in a manner that leaves no doubt or assumption by a bidder;
- (b) the evaluation criterion considers all the appropriate factors and that the method of application of these factors is clear;
- (c) the most appropriate type of contract shall result from the procurement proceedings in terms of contractual protection to the procuring and disposing entity, structure of payment, payment terms and method of payment;

- (d) the basis of the prices and costs required are adequately defined so that they can be meaningfully compared and later inserted into the contract to represent the full cost of the procurement requirement; and
- (e) a practical method of achieving the objectives of the procurement requirements is detailed to meet any logistics and supply requirements.

43. Bidding documents for supplies.

(1) A procuring and disposing entity shall specify in the bidding documents for supplies—

- (a) the instructions to bidders which shall state—
 - (i) the amount and form of bid security, if required;
 - (ii) the amount and form of performance security, if required;
 - (iii) the bid format;
 - (iv) the bid submission methodology;
 - (v) the currency in which a bid is to be submitted;
 - (vi) the procedure for conversion of prices into a single currency for evaluation purposes, including the source and date of exchange rates to be used for conversion;
 - (vii) the currency in which a contract shall be paid; and
 - (viii) the evaluation methodology and criteria to be used, and where applicable, that post qualification evaluation is to be conducted by the procuring and disposing entity and the criteria to be used;
- (b) the standard bidding forms to be used;
- (c) the schedule of requirements, which shall include—

- (i) the specification and list of supplies;
 - (ii) a sample of the supplies where required;
 - (iii) the required delivery terms; and
 - (iv) the environmental protection issues and social inclusion issues applicable to the procurement requirement;
- (d) the draft contract, which shall include—
- (i) the currency in which the contract shall be paid;
 - (ii) the amount and form of performance security, if required;
 - (iii) the payment terms, including any advance payment, stage payments, payment retentions and payment securities;
 - (iv) the basis for fixed or variable prices, and the method for calculating variations in variable prices, if required;
 - (v) the method of payment;
 - (vi) the documentation required for payment;
 - (vii) the required delivery terms;
 - (viii) any special requirements for packaging, marking and labeling;
 - (ix) the required environmental protection issues and social inclusion issues;
 - (x) the delivery documentation required;
 - (xi) any inspection or tests required;
 - (xii) any insurance requirements;

- (xiii) any required warranty; and
- (xiv) the type of contract to be placed;
- (e) any other information as may be required, which shall be in accordance with these Regulations.

(2) Where a procurement is conditional upon the acceptance of a trade-in, the bidding documents shall include details of the asset to be traded in.

44. Bidding documents for works.

A procuring and disposing entity shall in the bidding documents for works specify the following information—

- (a) the instructions to bidder, which shall include—
 - (i) the amount and form of bid security or form of bid-securing declaration required;
 - (ii) the bid format;
 - (iii) the currency in which a bid is to be submitted;
 - (iv) the bid submission methodology;
 - (v) the evaluation methodology and criteria to be used, and where applicable, that post qualification evaluation is to be conducted by the procuring and disposing entity and the criteria to be used; and
 - (vi) the procedure for conversion of prices to a single currency for evaluation purposes, including the source and date of exchange rates to be used for conversion;
- (b) the statement of requirements including—
 - (i) design, specifications, drawings, bill of quantities or equivalent as may be applicable; and
 - (ii) the schedule for execution of the works;

- (iii) the environmental protection issues and social inclusion issues applicable to the procurement requirement;
- (c) the proposed form, terms and conditions of contract, including—
 - (i) the type of contract to be placed;
 - (ii) the amount and form of performance security, if required;
 - (iii) the currency in which a contract shall be paid;
 - (iv) the payment terms, including any advance payments, interim or stage payments or payment retentions and the required payment securities;
 - (v) the basis for fixed or variable prices, and the method for calculating variations, if required;
 - (vi) the method of payment;
 - (vii) the documentation required for payment;
 - (viii) the functions and authority of the technical representative of the procuring and disposing entity, if any;
 - (ix) any inspection or tests required, and the test methods;
 - (x) requirements relating to certification of conformity;
 - (xi) the insurance cover or indemnity required; and
 - (xii) where applicable, a declaration that the provider shall abide by the required environmental protection issues and social inclusion issues; and
- (d) any other information as may be required, which shall be in accordance with these Regulations.

45. Bidding documents for non-consultancy services.

A procuring and disposing entity shall include in the bidding documents for non-consultancy services—

- (a) instructions to bidders, which shall include-
 - (i) the amount and form of bid security required;
 - (ii) the amount and form of any performance security;
 - (iii) the bid format;
 - (iv) bid submission methodology;
 - (v) currency in which the bid is to be submitted;
 - (vi) the procedure for conversion of prices to a single currency for evaluation purposes, including the source and date of exchange rates to be used for conversion; and
 - (vii) the evaluation methodology and criteria to be used, and where applicable, that post qualification evaluation is to be conducted by the procuring and disposing entity and the criteria to be used;
- (b) the standard bidding forms to be used;
- (c) the schedule of requirements, which shall specify-
 - (i) a description of the non-consultancy services required;
 - (ii) the duration, timing of inputs and completion schedule;
 - (iii) the required deliverables or outputs;
 - (iv) the environmental protection issues and social inclusion issues applicable to the performance of the non-consultancy services; and

- (v) any requirements with respect to the personnel to be used in the performance of the non-consultancy services;
- (d) the draft contract, which shall include—
 - (i) the currency in which a contract shall be paid;
 - (ii) the payment terms, including any advance payment, stage payments, payment retentions and payment securities;
 - (iii) the basis for fixed or variable prices, and the method for calculating variations in variable prices, where required;
 - (iv) the method of payment;
 - (v) the documentation required for payment;
 - (vi) any requirements for inspection or approvals of the non-consultancy services provided;
 - (vii) any insurance requirements;
 - (viii) where applicable, a requirement that the provider shall abide with specific environmental protection issues and social inclusion issues, which shall be specified in the contract; and
 - (ix) the type of contract to be placed; and
- (e) any other information as may be required, which shall be in accordance with these Regulations.

46. Alternative bids.

(1) A procuring and disposing entity shall specify in the bidding documents whether an alternative bid shall be considered.

(2) A procuring and disposing entity shall permit alternative bids where it is anticipated that a bidder shall be able to offer supplies,

works or non-consultancy services, which do not conform precisely to the statement of requirements, but which satisfy the objectives of the procurement in an alternative manner.

(3) An alternative bid shall be permitted in areas of rapidly changing technology or where a need may be satisfied in a number of different ways and the procuring and disposing entity wishes to encourage cost efficient and technically innovative approaches by bidders.

(4) The bidding document shall state whether—

- (a) alternative bids are invited for the complete supplies, works or non-consultancy services or specified parts of the works, supplies or non-consultancy services or for other factors, such as alternative completion schedules; and
- (b) a bidder shall be required to submit both a main bid which conforms precisely to the statement of requirements and an alternative bid or whether an alternative bid may be submitted without a main bid.

(5) Where the bidding document permits alternative bids, the bidding document shall state that the alternative bid does not need to conform precisely to the statement of requirements, but that the alternative bid shall—

- (a) meet the objectives or performance requirements prescribed in the statement of requirements;
- (b) be substantially within any delivery or completion schedule, budget or other performance parameters stated in the bidding document; and
- (c) clearly state the benefits of the alternative bid over any solution which conforms precisely to the statement of requirements, in terms of technical performance, price, operating costs or any other benefit.

(6) Where the bidding document permits alternative bids, the procuring and disposing entity shall ascertain that—

- (a) the statement of requirements contains a clear, accurate and comprehensive description of the objectives or performance requirements of the subject of the procurement; and
- (b) the method for evaluating alternative technical solutions is specified.

47. Evaluation criteria and post evaluation.

(1) The evaluation criteria to be used shall be stated in the solicitation document.

(2) Where post evaluation is to be conducted, the criteria to be used for post evaluation shall be stated in the solicitation document.

(3) The evaluation criteria shall be used to assess compliance with the statement of requirements, the technical and financial ability of a bidder to perform the contract and the availability of the required resources.

(4) The evaluation criteria which is not related to the statement of requirements, the proposed contract or the objectives of the procurement shall not be included in the solicitation document.

(5) The evaluation criteria shall not be drafted in a way which restricts competition, unless the criteria is required to meet the objectives of the procurement or is in accordance with a preference or reservation scheme.

(6) Where criteria is given scores or is weighted, the maximum score or weight allocated shall be directly related to the importance of the criteria to the procurement.

48. Costs to be included in financial comparison.

(1) The bidding document shall state the costs which shall be taken into account in the financial comparison and the costs which may be excluded.

(2) The costs may, where applicable include—

- (a) fee rates or unit prices, based on either a fixed or estimated total quantity;
- (b) reimbursable costs, such as costs for travel, materials or consumables used in the performance of the services or similar costs;
- (c) costs for packing, packaging, freight and inland delivery;
- (d) costs for installation and commissioning of equipment;
- (e) costs for inspection and tests;
- (f) operating costs, which shall be for the period specified in the bidding document;
- (g) costs for the servicing and maintenance of equipment and costs for spare parts for equipment, which shall be for the period specified in the bidding document;
- (h) for works, the total bid price based on the bill of quantities or the schedule of prices and the cost of day work items;
- (i) duties, taxes or other levies;
- (j) cost to cover contingencies;
- (k) costs for disposal of assets procured or decommissioning the process, where applicable, as may be specified in the bidding document; and
- (l) any costs prescribed in the environmental or social, management plan.

(3) Where the evaluation of bids requires the consideration of factors other than cost, the bidding document may also state the way in which non-cost factors will be quantified and expressed in monetary terms for purposes of the financial comparison.

(4) The financial comparison may include an assessment of the whole life-cycle cost of the equipment which is expected to be in use for a long time or where maintenance and operating costs are a significant proportion of the overall cost.

49. Discounts in bidding documents and bids.

(1) The bidding document shall include specific areas in which a bidder may declare the level of discount applicable to the bid.

(2) A bidder shall be permitted to offer a cross discount that is conditional on the simultaneous award of a contract for other lots contained in the bidding document.

50. Considerations in respect of taxes, duties and levies.

(1) A bidding document shall state whether taxes, duties and levies shall be included in the evaluated price.

(2) Where taxes are included, in the case of supplies, a procuring and disposing entity shall—

- (a) use the delivery terms defined in INCOTERMS to state the export and import taxes, duties or levies that are the responsibility of the provider; and
- (b) state any other taxes, duties or levies that are the responsibility of the provider.

(3) Where taxes are included, in the case of non-consultancy services, a procuring and disposing entity shall state the liability to taxes and other charges for the duration of the proposed assignment or project for a provider.

(4) Unless otherwise stated in the bidding document, where a preference scheme applies, the amount of the applicable taxes, duties or levies shall be included in the evaluated price prior to the application of any margin of preference.

PART VI—BIDDING

51. Method of inviting bidders.

(1) A procuring and disposing entity shall invite a bidder to bid—

- (a) by publication of a bid notice in a newspaper of wide circulation;
- (b) through a pre-qualification exercise;
- (c) from a shortlist of providers; or
- (d) by direct invitation of a sole or single provider.

(2) The method used shall be in accordance with the Act and these Regulations.

52. Bid notices.

(1) A bid notice shall be displayed on the website of the Authority and the Procurement and Disposal Unit notice board of the procuring and disposing entity and where available, the website of the procuring and disposing entity, not later than the date of publication of the bid notice and shall be displayed until the deadline for submission of bids.

(2) A procuring and disposing entity shall publish an abridged version of the notice in at least one newspaper of wide circulation, indicating the website where the full version of the notice is published.

53. Shortlist of bidders.

(1) A shortlist shall have at least six bidders, except for micro procurement which shall have three bidders.

(2) Where it is not practicable for a procuring and disposing entity to obtain at least six bidders, the Contracts Committee, may approve a shortlist of less than six bidders.

(3) A procuring and disposing entity shall, when developing a shortlist for procurement requirements, use the list of providers pre-qualified by the procuring and disposing entity developed under Part IV, for groups of procurements which are similar under regulation 32, or—

- (a) the register of providers of the Authority;
- (b) the list of pre-qualified providers of another procuring and disposing entity; or
- (c) knowledge of the market by the procuring and disposing entity.

(4) Where a procuring and disposing entity develops a shortlist, the following shall be taken into account—

- (a) fair and equal opportunity shall be afforded to all bidders and there shall be no barrier created to deter competition;
- (b) a bidder shall not be included unless the bidder is expected to satisfy fully the eligibility requirements; and
- (c) a bidder shall not be included unless the bidder is expected to satisfy fully the qualification requirements of competence, capacity, resources and experience required for the execution of the procurement.

(5) The Procurement and Disposal Unit shall, before issuing the bidding document, record the proposed shortlist, with reasons for the selection of each provider and shall submit it to the Contracts Committee for approval.

(6) The Procurement and Disposal Unit shall make a submission to the Contracts Committee for approval of a shortlist of bidders using Part II of Form 5 in Schedule 1.

(7) The approved shortlist shall be displayed on the procurement and disposal notice board of the procuring and disposing entity, not later than the date of issue of the invitation and shall remain on display until the closing date for submission of bids.

54. Invitation of single source provider or sole source provider.

(1) A procuring and disposing entity shall use the following information to identify a single source provider or a sole source provider of a procurement requirement—

- (a) the list of pre-qualified providers of the procuring and disposing entity;
- (b) the register of providers of the Authority;
- (c) the list of pre-qualified providers of any other procuring and disposing entity; or
- (d) the procuring and disposing entity's own knowledge of the market.

(2) When selecting a single source provider—

- (a) the procuring and disposing entity shall, before recommending the source, ascertain that the selected single source provider is eligible and qualified; and
- (b) where possible, the provider shall not be informed that the proposed procurement is based on a single source.

(3) Where a sole source provider exists, the procuring and disposing entity shall verify that only a sole source provider exists and ensure that the sole bidder is eligible and qualified before recommending the source.

(4) The Procurement and Disposal Unit shall, before issuing the bidding document, record the proposed single source provider or sole source provider, with reasons for the selection of a single source provider or reasons why there is only a sole source provider, and shall submit it to the Contracts Committee for approval.

(5) The Procurement and Disposal Unit shall make a submission to the Contracts Committee for approval of a single source provider or sole source provider using Part II of Form 5 in Schedule 1.

55. Determining bidding period.

(1) The bidding period shall start on the date the bid notice is first published or on the date of availability of the bidding document to bidders, whichever is later, and shall end on the closing date for submission of bids.

(2) The bidding period shall be determined taking into consideration—

- (a) the time required for the potential bidders to obtain the bidding document from the procuring and disposing entity;
- (b) the time required for the preparation of bids, taking into account the level of detail required and the complexity of the bidding;
- (c) the need for bidders to submit specified legal documents or similar documents as part of the bids and the time required to obtain the documents;
- (d) the location of shortlisted or potential bidders and the time required for obtaining bidding documents and for the delivery and submission of bids to the procuring and disposing entity;
- (e) the anticipated duration of the procurement process; and
- (f) the minimum bidding period.

56. Minimum bidding period.

(1) The minimum bidding period in respect of each procurement method is—

- (a) fifteen working days for open domestic bidding method;
- (b) twenty working days for open international bidding method;

- (c) ten working days for restricted domestic bidding method;
- (d) fifteen working days for restricted international bidding method; and
- (e) five working days for the quotation method.

(2) The direct procurement method shall not have a minimum bidding period.

(3) Where the publication of a bid notice or the availability of the bidding document, is delayed, the closing date for submission of bids shall be extended appropriately so that the minimum bidding period is complied with.

57. Issue and sale of bidding documents.

(1) A procuring and disposing entity shall issue or sell bidding documents to—

- (a) a bidder who requests for the documents, in the case of open bidding;
- (b) a single source provider or a sole source provider approved by the Contracts Committee, in the case of direct procurement; and
- (c) a bidder on the shortlist approved by the Contracts Committee, in the case of all other methods of procurement.

(2) Where bidding documents are sold, the procuring and disposing entity shall allow a potential bidder to inspect the bidding documents before purchase.

(3) A procuring and disposing entity shall record the issue or sale of all bidding documents using Form 8 in Schedule 1.

(4) Bidding documents may be sold in order to recover the cost of printing, copying and distribution and the price shall be calculated to cover only these costs and shall not include any profit.

(5) The price of the bidding documents shall be approved by the Contracts Committee before issuing the bid notice or bidding documents and shall be included in the bid notice.

(6) Where bidding documents are sold, a procuring and disposing entity shall issue signed receipts to the bidders.

58. Bidding documents not obtained directly from procuring and disposing entity.

A bid shall be rejected during the preliminary examination of bids, if the bid is received from a bidder who—

- (a) is not listed in Form 8 as having bought or obtained the bidding document directly from the procuring and disposing entity; or
- (b) is not included on the shortlist approved by the Contracts Committee.

59. Clarification and amendment of bidding documents.

(1) The bidding documents shall state that a bidder may seek clarification on the bidding documents and shall state the date by which the clarification shall be sought.

(2) In determining the date referred to in subregulation (1) a procuring and disposing entity shall provide adequate time for all bidders to receive and study the bidding documents.

(3) Where a request for clarification is received, the procuring and disposing entity shall promptly provide clarification in writing and the clarification shall be copied to all the bidders to whom the bidding documents were issued, and shall include a description of the request without identifying the source of the request.

(4) At any time before the deadline for submission of bids, the procuring and disposing entity may, at its own initiative or in response to a request for clarification from a bidder, amend the bidding documents by issuing an addendum.

(5) A clarification made by the procuring and disposing entity shall not be taken as an addendum to the bidding documents.

(6) To give a bidder reasonable time to prepare a bid in response to an addendum, the procuring and disposing entity shall, where less than one third of the bidding period remains, extend the deadline for the submission of bids by a reasonable period.

(7) Where more than one third of the bidding period remains, the procuring and disposing entity may, at its discretion, extend the deadline for the submission of bids.

(8) The addendum, including any extension to the bidding period, shall be issued in writing and the same information shall be provided to all the bidders.

(9) A procuring and disposing entity shall obtain proof of receipt of the addendum by a bidder.

(10) All addenda to bidding documents shall be approved by the Contracts Committee before being issued to bidders and shall be numbered sequentially.

(11) All clarifications and addenda to the bidding documents shall be binding on all the bidders.

(12) The Procurement and Disposal Unit shall seek approval for the addendum, by the Contracts Committee using Form 9 in Schedule 1.

60. Pre-bid meetings.

(1) A procuring and disposing entity may hold pre-bid meetings to allow potential bidders seek clarification or access project sites, where applicable.

(2) Where holding a pre-bid meeting is required, the notice to attend the meeting shall be included in the bidding document.

(3) A potential bidder shall be given sufficient notice of the pre-bid meeting to offer reasonable opportunity for the bidder to attend.

(4) A pre-bid meeting shall allow sufficient time before the deadline for bidding to enable a bidder to take any additional information into account in preparing the bid.

(5) The minutes of a pre-bid meeting shall be recorded using Form 10 in Schedule 1 and copies of the minutes shall be provided to all bidders who purchased bidding documents or to whom the bidding documents were issued.

(6) A pre-bid meeting shall be managed by the Procurement and Disposal Unit and the user department shall be represented at the pre-bid meeting.

61. Format and content of bids.

The bidding documents shall contain instructions to bidders on—

- (a) the format and content required in bids;
- (b) the requirements for signing and authorising bids; and
- (c) the number of copies of bids to be submitted, which shall be the original bid marked “ORIGINAL” and a specified number of copies, each marked “COPY”.

62. Bid validity period and extensions.

(1) The bidding documents shall state the date up to which a bid shall be valid.

(2) A bid shall remain valid until the close of business on the last day of the validity period.

(3) When determining the duration of a bid validity period, sufficient time shall be allowed to enable—

- (a) the procuring and disposing entity undertake evaluation, post-qualification and negotiation exercises, as may be appropriate;
- (b) the Contracts Committee to consider the evaluation report and recommendation for award of the contract;
- (c) a bidder challenge the award decision before a contract is formed; and
- (d) the Procurement and Disposal Unit to prepare the contract document and obtain all necessary approvals, prior to issue of the contract document, within the validity period of the bid.

(4) A procuring and disposing entity shall not request for an extension to the period of bid validity from a bidder.

(5) Notwithstanding subregulation (4), where an extension to the bid validity period becomes necessary, a bidder shall be requested in writing, before the expiry of validity of their bid, to extend the validity for a specified period to complete the process outlined in subregulation (3).

(6) A bidder may on his or her own discretion extend the bid validity period where the procurement and disposing entity delays to request the bidder to extend the bid and the bid validity period is likely to expire before the completion of the procurement process.

(7) In extending the validity of a bid, the bidder shall not be permitted to change the price or any other details of the bid.

(8) A procuring and disposing entity shall request a bidder who extends the bid validity period to provide a bid securing declaration.

(9) A bidder may refuse to extend the validity of the bid, and where the bidder refuses to do so, the bidder shall not forfeit the bid security.

63. Bid security and bid securing declaration.

(1) A bidding document shall state any requirement for a bid security or bid securing declaration.

(2) The amount of a bid security or the terms of a bid securing declaration shall be specified in guidelines issued by the Authority.

(3) The purpose of a bid security and a bid securing declaration shall be to encourage bidders fulfil the conditions of the bids and to deter irresponsible and unserious bidders from bidding.

(4) A procuring and disposing entity shall require a bid security to be—

(a) in a format provided by the Authority, as shall be included in the bidding documents;

(b) in a form and from an institution that is specified in guidelines issued by the Authority, after consulting the Bank of Uganda; and

(c) valid up to the date prescribed in the bidding documents.

(5) A bid security shall be valid for at least twenty-eight days after the expiry of the bid.

(6) A bid security shall be released promptly by a procuring and disposing entity to an unsuccessful bidder upon the expiry of the term of the security or upon the formation of a contract with the successful bidder and submission of a valid performance security or upon request by the unsuccessful bidder after publication of the notice of best evaluated bidder.

(7) The bid security of the successful bidder shall not be returned to the bidder until a corresponding performance security or bid securing declaration is received, where it is required by the contract.

(8) The proposed release of a bid security shall be communicated to the bidder and the bid security returned in accordance with the instructions of the bidder.

(9) A procuring and disposing entity shall require a bid securing declaration where the restricted domestic bidding and quotation procurement methods are used.

(10) Where a bidder breaches the bid securing declaration, the procuring and disposing entity shall immediately notify the Authority.

(11) The Authority may in accordance with Section 94 of the Act, suspend a bidder who breaches a bid securing declaration.

64. Forfeiture of bid security.

The conditions for forfeiture of a bid security shall be specified in the bidding document and shall include—

- (a) withdrawal of a bid by a bidder during the period of bid validity; and
- (b) failure by a successful bidder to—
 - (i) sign the contract within the specified period; or
 - (ii) furnish the required performance security within the specified period.

65. Bid currency.

The bidding documents shall state any limitations on the currency of the bid and the payment that shall apply to the procurement proceedings and the contract.

66. Bid submission methods.

(1) The bidding documents shall contain instructions on the method of bid submission.

(2) The one stage-single envelope method shall be used for the procurement of supplies, works and non-consultancy services.

(3) Under the one stage-single envelope method, a bid shall be submitted in one sealed envelope, which shall be opened on the specified date and time at the bid opening session.

(4) Notwithstanding subregulation (2), where necessary, in the case of procurement of design and build, text books and information technology systems, the bid submission method may be—

- (a) the one stage-two envelope method, in which a bid is submitted in an outer sealed envelope, containing two separately sealed and labelled technical and financial bids, which are opened on different dates in separate bid openings; or
- (b) the two stage method, in which—
 - (i) during the first stage, only the technical bid is submitted, opened and evaluated; and
 - (ii) during the second stage, a revised technical bid and a financial bid are submitted, opened and evaluated together.

(5) Where the two stage method is used, a bidder shall be invited to submit a revised technical bid and financial bid during the second stage, unless the bidder has been declared non-compliant or non-responsive.

(6) The bid submission method to be used shall be selected using the provisions of the applicable evaluation methodology and guided by the following—

- (a) the one stage-single envelope method, which shall be used under technical compliance evaluation method where all the stages of the evaluation are to be conducted together;
- (b) the one stage-two envelope method, which shall be used where the detailed technical evaluation is to be conducted without reference to the financial bid; and

- (c) the two stage method, which shall be used for large and complex contracts, where technically unequal bids are likely to be received and more than one equally acceptable technical solution is available to the procuring and disposing entity, which needs to ensure that all the technical bids conform to the same technical standard before a financial bid is prepared.

(7) The bidding documents shall state that the bid shall be submitted in a plain securely sealed outer envelope, so that opening and resealing of the envelope cannot be achieved undetected.

(8) The bidding documents shall contain instructions on the information to be provided on the envelope, including—

- (a) the procurement reference number;
- (b) the name of the bidder;
- (c) the words, “TECHNICAL” or “FINANCIAL” where the one stage-two envelope or two stage bid submission method is used;
- (d) the words “WITHDRAWAL” “MODIFICATION” or “REPLACEMENT” where a bid is withdrawn, modified or replaced; and
- (e) the words “NOT TO BE OPENED BEFORE THE DATE AND TIME OF THE BID OPENING”.

(9) The bidding documents shall state that where a bid is not properly marked or sealed in accordance with this regulation, the procuring and disposing entity shall not be responsible for opening a bid when it should not be opened or misplacing the bid.

(10) Where alternative bids are permitted and a bidder submits more than one bid, each bid shall be submitted as a completely separate bid and shall conform to the instructions for preparation and submission of bids in its own right, without any reliance on any other bid.

(11) For the purposes of subregulation (10) each bid shall be separately signed, authorised, sealed, labelled and shall be submitted in accordance with the instructions for submission of bids and shall be accompanied by a separate bid security or bid-securing declaration, if so required, and labelled “Main Bid” or “Alternative Bid”, as the case may be.

67. Withdrawal, replacement and modification of bids.

(1) The bidding documents shall contain instructions that a bidder may, at any time before the deadline for submission of bids—

- (a) withdraw the bid;
- (b) submit a replacement bid; or
- (c) modify the bid.

(2) The bidding documents shall specify the procedure for withdrawal, replacement or modification of a bid and shall state that the deadline for withdrawal, replacement or modification shall be the same as the deadline for submission of bids.

(3) Withdrawal shall be by submission of a letter notifying the procuring and disposing entity of the withdrawal and shall be authorised and submitted in the same way as the bid.

(4) Modification shall be by submission of a letter notifying the procuring and disposing entity of the part of the original bid being modified and shall be authorized and submitted in the same way as the original bid.

(5) The envelope containing the letter withdrawing a bid shall be clearly marked, “WITHDRAWAL”.

(6) The envelope containing the letter modifying the bid shall be clearly marked, “MODIFICATION”.

(7) A bidder withdrawing a bid may submit a new bid in accordance with the bid submission instructions in the bidding document and the envelope containing the new bid shall be clearly marked “REPLACEMENT”.

(8) A bid shall only be modified, replaced or withdrawn in accordance with this regulation and any modification, replacement or withdrawal submitted in any other way shall not be taken into account in the evaluation of bids.

68. Methods of receipt of bids.

(1) A Procurement and Disposal Unit shall on behalf of the procuring and disposing entity receive the bids from the bidder or representative of the bidder.

(2) A bidder may deliver a bid to the procuring and disposing entity, in person or electronically—

- (a) through the staff of the Procurement and Disposal Unit, who shall acknowledge receipt of the bid; or
- (b) by use of a bid box or government electronic system.

(3) Where a bid is delivered by courier, a procuring and disposing entity shall not be held liable where the bid is lost or delivered late by the courier to the procuring and disposing entity.

69. Receipt of bids in person and closing of submission of bids.

(1) A member of the Procurement and Disposal Unit shall be available at the location for submission of bids, for a reasonable period of time to receive the bids and issue receipts before the closing date for submission of bids.

(2) The Procurement and Disposal Unit shall issue a signed receipt for each bid received, stating the date and time the bid is received.

(3) A procuring and disposing entity shall maintain a record of all the bids received, using Form 11 in Schedule 1.

(4) The process of submission of bids shall be closed at the deadline for submitting bids.

(5) A bid that is brought to the location of submission of bids after the closing date for submission or which is submitted after closing date shall not be received by the procuring and disposing entity but shall be labelled "LATE", and returned unopened to the bidder or destroyed, where the bid is not labelled with the name of the bidder.

(6) The closure of the process of submission of bids shall be managed by at least one member of the Procurement and Disposal Unit and shall be witnessed by a member of the Contracts Committee or a member of the user department nominated by the user department.

(7) The persons referred to in subregulation (6) shall sign Form 11 in Schedule 1 to confirm that bidding closed at the deadline for submission of bids.

(8) Immediately after the closure of the process of submission of bids, the bids received shall be moved to the location where the bids are to be opened.

70. Receipt of bids by bid box and closing of submission of bids.

(1) A Procurement and Disposal Unit shall provide a box for submission of bids which shall be accessible to bidders during working hours until the closing date for submission of bids.

(2) Where a bid is too large to be placed in the bid box, a member of the Procurement and Disposal Unit shall receive the bid and issue a receipt to the bidder.

(3) Where a procuring and disposing entity considers it appropriate, it may require a bidder to register the bid before placing the bid in the bid box.

(4) The process of submission of bids shall be closed at the deadline for submission of bids by sealing the bid box.

(5) A bid that is brought to the location for submission of bids after the deadline for submission shall not be placed in the box or accepted in any other way.

(6) The closure of the process of submission of bids shall be managed by at least one member of the Procurement and Disposal Unit and shall be witnessed by a member of the Contracts Committee or a member of the user department nominated by the user department.

(7) The persons referred to in subregulation (6) shall sign Form 11 in Schedule 1 to confirm that the bid box was sealed at the deadline for submission of bids.

(8) Immediately after the closure of the process of submission of bids, the bids received shall be moved to the location where the bids are to be opened.

(9) The bid box shall not be opened before the public opening session.

71. Change to bid details.

(1) The bidding documents shall state that a change in the substance of a bid including changes in price shall not be sought, allowed or offered after bid closing.

(2) The bidding documents shall state that a bidder who attempts to make changes to a bid after the closure of the process of submission of bids shall be reported to the Authority and that the bid shall be rejected by the procuring and disposing entity.

72. Notification to bidders of public bid opening.

(1) The bids submitted under the open bidding method or the restricted bidding method shall be opened at a public bid opening session.

(2) The bidding documents of the open bidding method or the restricted bidding method shall contain instructions to bidders regarding—

- (a) the date and time of the bid opening session;
- (b) the location of the bid opening session; and
- (c) the information to be read out and recorded at the bid opening session.

(3) For the purposes of subregulation (2)(a) bid opening shall be immediately after bid closing.

(4) The information in subregulation (2) (c) may be varied where it is required by an alternative bid submission or evaluation methodology.

73. Representation of bidder at public bid opening.

(1) Public bid opening shall be conducted in the presence of a bidder who chooses to attend or a representative of a bidder.

(2) The procuring and disposing entity shall permit a bidder or a representative of a bidder to confirm that the bid is intact and sealed and no addition, deletion or modification to the exterior or the contents of the sealed bid shall be permitted.

(3) A bidder or a representative of a bidder may ask questions after the bids are opened, but a procuring and disposing entity shall not enter into discussion on the specific details of any bid.

74. Information to be read out at bid opening.

(1) The information to be read out at a bid opening session shall be in accordance with the bidding document and shall include—

- (a) the name of the bidder;
- (b) the presence or absence of a bid security and the form or amount of any bid security, where bid security was requested in the bidding document;

- (c) the total price of the bid, including the currency and amount or discount;
- (d) the technical score obtained by the bid under the one stage-two envelope, the two stage-two envelope or the two stage submission methods, as may be applicable;
- (e) where applicable, whether a power of attorney is submitted or not; and
- (f) any other information required as may be stated in the bidding documents.

(2) The information in subregulation (1) may be varied where it is required by an alternative bid submission or evaluation methodology.

75. Procedure for public bid opening.

(1) The public opening of bids shall be managed by the Procurement and Disposal Unit and shall be witnessed by a member of the Contracts Committee or a person authorised by the user department.

(2) At the public opening, the Procurement and Disposal Unit shall first manage the envelopes marked “WITHDRAWAL” by reading out the names of the bidders as indicated on the envelopes and returning the sealed envelopes to the bidder.

(3) A bid shall be accepted as withdrawn where the withdrawal notice is in accordance with the instructions for withdrawal of bids stated in the bidding documents and in accordance with subregulation (2).

(4) The Procurement and Disposal Unit shall open all the other envelopes including the envelopes marked “REPLACEMENT” or “MODIFICATION” and read out the information that is required by the bidding documents to be read out at the public opening session.

(5) A modified or replacement bid shall be recorded as such in the record of the bid opening session.

(6) The key pages of a bid, including the typed pages that are unique to the bid, the bid form, the bid submission sheet and the pages containing the financial information shall be endorsed with the stamp of the procuring and disposing entity and signed or initialled by the chairperson of the opening session.

(7) A bid shall not be accepted, rejected or evaluated in any way at the public bid opening.

(8) The procuring and disposing entity shall note in the record of the bid opening, the discrepancies in a bid or documents missing in a bid, if any, but shall not otherwise comment on the discrepancies or any missing documents.

(9) A record of the public bid opening shall be made using Form 12 in Schedule 1.

(10) A person who attends or participates in a bid opening session shall sign Part II of Form 12 in Schedule 1.

(11) A member of the public may attend a public bid opening session.

(12) After all bids are opened, read out and recorded, the record of the bid opening session shall be signed by the chairperson and the secretary of the opening session.

(13) Copies of the record shall upon request, be made available to a bidder or a representative of a bidder.

(14) The record of the bid opening shall be posted on the procurement and disposal notice board of the procuring and disposing entity, within one working day after the opening session and shall be displayed for a minimum of seven working days.

76. Revocation and transition.

(1) The Public Procurement and Disposal of Assets (Rules and Methods of Procurement of Supplies, Works and Non-Consultancy Services) Regulations, 2014 are revoked.

(2) A procurement process that had commenced under the Public Procurement and Disposal of Public Assets (Rules and Methods of Procurement of Supplies, Works and Non-Consultancy Services) Regulations, 2014 and the Local Governments (Public Procurement and Disposal of Public Assets) Regulations, 2006 shall be continued and completed under these Regulations.

SCHEDULES
SCHEDULE I
Forms
FORM 5

Regulation 3(1), 13(3), 15(3), 17(3) 24(2), 53(6), 54(5)

THE PUBLIC PROCUREMENT AND DISPOSAL OF PUBLIC ASSETS ACT, 2003

REQUEST FOR APPROVAL OF PROCUREMENT

PART I: REQUEST BY USER DEPARTMENT FOR APPROVAL OF PROCUREMENT

Procurement Reference Number			
Code of Procuring and Disposing Entity	Supplies/Works/Non-consultancy services	Financial Year	Sequence Number

Category of procurement and budget

Recurrent Budget	Development Budget	Project Code	Project Title

Is procurement going to result into multiyear contracting?

Required Resources (UGX Bn) Year One	Required Resources (UGX Bn) Year Two	Required Resources (UGX Bn) Year Three	Required Resources (UGX Bn) Year Four

Particulars of Procurement	
Subject of Procurement	
Procurement Plan Reference	
Location for Delivery	
Date Required	

Details Relating to the Procurement					
Item No.	Description <i>(Attach specifications, terms of reference or scope of works)</i>	Quantity	Unit of Measure	Estimated Unit Cost	Market price of the procurement
Currency: _____					
Estimated Total Cost:					

(1) Request for Procurement
(Member of user department)

Signature: _____
Name: _____
Title: _____
Date: _____

(2) Confirmation of Request
(Head of user department)

Availability of funds to be confirmed prior to approval by Accounting Officer:

Vote/head No	Programme	Sub-programme	Item	Balance remaining

(3) Confirmation of Funding and Approval to Procure
(Accounting Officer)

Signature: _____
Name: _____
Title: _____
Date: _____

PART II: REQUEST BY PROCUREMENT AND DISPOSAL UNIT TO CONTRACTS COMMITTEE FOR APPROVAL OF PROCUREMENT METHOD

	Submission by the Procurement and Disposal Unit		Decision of the Contracts Committee	Conditions/Justification for Decision
	Date of Submission to Contracts Committee:			
1.	Recommended method of procurement and justification		Date/Reference of Contracts Committee Meeting:	
2.	Names of shortlisted provider (s) and justification for selection			
3.	Bidding document. Persons involved in preparation of proposal document (<i>Names and positions</i>)			
4.	Names of persons recommended to constitute the Evaluation Committee and the justification (<i>Names and positions</i>)			
5.	Cost of the bidding document, if any			
6.	Any other information			

Documents attached:

1. Bidding Document

Declaration by Procurement and Disposal Unit

The information contained in this form and the attached documents is complete, true and accurate and in accordance with the Public Procurement and Disposal of Public Assets Act, 2003.

Signature: _____
Name: _____
Position: _____
Date: _____

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Declaration by Contracts Committee

The information contained in this form is a true and accurate record of the decision of the Contracts Committee meeting held on the above date.

Signature: _____
Name: _____
Position: **Chairperson Contracts Committee**
Date: _____
Signature: _____
Name: _____
Position: **Secretary Contracts Committee**
Date: _____

FORM 6

Regulation 28 (3)

THE PUBLIC PROCUREMENT AND DISPOSAL OF PUBLIC ASSETS ACT, 2003

**REQUEST BY PROCUREMENT AND DISPOSAL UNIT
FOR APPROVAL OF PREQUALIFICATION**

Procurement Reference Number			
Code of Procuring and Disposing Entity	Supplies/Works/ Non-consultancy services	Financial Year	Sequence Number

Particulars of Pre-qualification	
Subject of Pre – qualification	
Procurement Plan Reference	

Submission by the Procurement and Disposal Unit		Decision of the Contracts Committee	Conditions/Justification for Decision
Date of Submission to Contracts Committee:		Date/Reference of Contracts Committee Meeting	
1.	Recommended method of procurement and justification		
2.	Names of shortlisted provider(s) and justification for selection		
3.	Persons involved in preparation of the bidding document (<i>Names and positions</i>)		
4.	Names of persons recommended to constitute the Evaluation Committee and the justification (<i>Names and positions</i>)		
5.	Cost of the bidding document, if any		
6.	Any other information		

Documents attached: (list the documents attached)

- 1. Bidding Document

Declaration by Procurement and Disposal Unit

The information contained in this form and the attached documents is complete, true and accurate and in accordance with the Public Procurement and Disposal of Public Assets Act, 2003.

Signature: _____
Name: _____
Position: _____
Date: _____

Declaration by Contracts Committee

The information contained in this form is a true and accurate record of the decision of the Contracts Committee meeting held on the above date.

Signature: _____
Name: _____
Position: **Chairperson Contracts Committee**
Date: _____
Signature: _____
Name: _____
Position: **Secretary Contracts Committee**
Date: _____

FORM 7

Regulation 31(3)

THE PUBLIC PROCUREMENT AND DISPOSAL OF PUBLIC ASSETS ACT, 2003

REPORT OF ASSESSMENT AND PRE-QUALIFICATION EVALUATION

Procurement Reference Number			
Code of Procuring and Disposing Entity	Supplies/Works/ Non-consultancy Services	Financial Year	Sequence Number

1. INTRODUCTION

(1) PRE-QUALIFICATION FOR SINGLE REQUIREMENTS

- (a) The requirement is for [*Subject of procurement*]
- (b) The procurement method used and approved by the Contracts Committee was [*open domestic bidding/open international bidding*] with pre-qualification.

(2) PRE-QUALIFICATION FOR A GROUP OF CONTRACTS

The pre-qualification is for a group of contracts for [*subject of procurement*]

2. DETAILS OF INVITATION

- (1) The pre-qualification document was approved by the Contracts Committee on [*date*]

- (2) The pre-qualification notice was advertised on [date]_____ in [name of newspaper/
publication]_____.
- (3) The list of bidders who requested for and were issued with the pre-qualification document was recorded using Form 8 which attached.

3. DETAILS OF BID CLOSING

- (1) Bidding was closed on [date]_____ at [time]_____ at [location]_____.
- (2) The receipt of pre-qualification submissions was recorded using Form 11 which is attached.

4. DETAILS OF BID OPENING

- (1) A public bid opening was held at [location]_____ on [date]_____ at [time]_____.
- (2) The bid opening and attendance was recorded using Form 12 which is attached.

5. DETAILS OF EVALUATION COMMITTEE

- The Evaluation Committee approved by the Contracts Committee on [date]_____ comprised:
- [Name] [Designation and Department]_____
 - [Name] [Designation and Department]_____
 - [Name] [Designation and Department]_____
 - [Name] [Designation and Department]_____

6. DETAILS OF EVALUATION

- (1) All pre-qualification submissions received were evaluated against the criteria stated in the pre-qualification document/notice.

[Give a brief narrative on the result of the evaluation and detailed justification with reasons why any pre-qualification submissions were declared non responsive.]

(2) On the basis of the pre-qualification criteria stated, _____ [number] providers are pre-qualified and _____ [number] are not pre-qualified.

Refer to the attached table, which summarises the evaluation.

(3) Recommendation

(a) Pre-qualification for single requirements

It is recommended that [number] _____ providers be invited to bid, as listed below:

1.
2.

(b) Pre-qualification for a group of contracts

It is recommended that [number] providers be retained on file as pre-qualified and used on future shortlists for [*subject of procurement*].

7. DISAGREEMENT BY THE EVALUATION COMMITTEE

The Evaluation Committee could not reach a unanimous decision on the pre-qualification and this report details the view of the majority of the team.

[Give details of the issues where the team disagreed, the discussions held, the alternative views and the names of those with alternative views].

Signed by the Evaluation Committee:

We confirm that this Pre-qualification Report gives a complete and accurate record of the pre-qualification conducted:

Name: _____ Signature: _____ Date: _____

Name: _____ Signature: _____ Date: _____

Name: _____ Signature: _____ Date: _____

8. DOCUMENTS AVAILABLE FROM THE PROCUREMENT AND DISPOSAL UNIT:

Copy of the pre-qualification document.

Copy of the pre-qualification notice.

Copy of all pre-qualification submissions.

Annexes:

Form 8: Record of issue of pre-qualification documents

Form 11: Record of bids received

Form 12: Record of bid opening

Summary of pre-qualification evaluation

Shortlist of pre-qualified bidders

SUMMARY OF PRE-QUALIFICATION EVALUATION

Procurement Reference Number			
Code of Procuring and Disposing Entity	Supplies/Works/Non-consultancy Services	Financial Sear	Sequence Number

Subject of Pre-qualification	
------------------------------	--

Company Name	1	2	3	4	5	6
Pre-qualification Criteria <i>{List all criteria in accordance with the pre-qualification document or notice. Criteria shown are examples only}</i> .						
Experience/performance on similar contracts in the country/region/internationally	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ
Equipment and manufacturing/construction facilities	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ
Qualifications and experience of personnel	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ
Financial capability to perform the contract	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ
Local facilities or representation	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ

Available capacity		Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ
Recommendation	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ	Q/NQ

KEY: Q = Qualified NQ = Not Qualified {delete that which is not applicable in the table above}

As a result of this pre-qualification evaluation, _____ companies were declared qualified and _____ companies were declared not qualified.

Name: _____

Position: _____

Signature: _____

Date: _____

On behalf of the Evaluation Committee

FORM 8

Regulation 57(3)

THE PUBLIC PROCUREMENT AND DISPOSAL OF PUBLIC ASSETS ACT, 2003

RECORD OF SALE OR ISSUE OF BIDDING DOCUMENTS OR ADDENDA

Procurement Reference Number			
Code of Procuring and Disposing Entity	Supplies/Works/ Non-consultancy Services	Financial Year	Sequence Number

Particulars of Procurement	
Subject of Procurement	
Date of Publication of Bid Notice	
Date of bidding document or addendum is Available	
Addendum Number	

Particulars of Issue of Bidding Documents or Addendum							
No.	Name and Address of Bidder	Date of Application for the Document¹'s	Fee Paid	Date and Time of Issue of Documents	Person Issued with the Document and Address	Signature of Person Issued with Document	Name and Signature of Officer Issuing Document

I hereby certify that the above is a true and accurate record of the issue of bidding documents.

Name: _____ Position: _____

Signature: _____ Date: _____

FORM 9

Regulation 59(12)

THE PUBLIC PROCUREMENT AND DISPOSAL OF PUBLIC ASSETS ACT, 2003

REQUEST FOR APPROVAL OF ADDENDUM TO BIDDING DOCUMENT

Procurement Reference Number			
Code of Procuring and Disposing Entity	Supplies/Works/ Non-consultancy Services	Financial Year	Sequence Number

Particulars of Procurement	
Subject of Procurement	

	Submission by the Procurement and Disposal Unit	Decision of the Contracts Committee	Conditions/Justification for Decision
	Date of Submission to Contracts Committee	Date/Reference of Contracts Committee Meeting	
1.	Number of times (if any) has the bidding document been amended previously.		
2.	Description of proposed Addendum to bidding document		
3.	Reason(s) for Addendum		
4.	Proposed extension to deadline (if any)		
5.	Any other relevant information		

Documents attached:

1. Draft addendum to bidding document

Declaration by Procurement and Disposal

Unit

The information contained in this form and the attached documents is complete, true and accurate and in accordance with the Public Procurement and Disposal of Public Assets Act, 2003.

Name: _____

Position: _____

Signature: _____

Date: _____

Declaration by Contracts Committee

The information contained in this form is a true and accurate record of the decision of the Contracts Committee meeting held on the above date.

Signature: _____

Name: _____

Position: **Chairperson Contracts Committee**

Date: _____

Signature: _____

Name: _____

Position: **Secretary Contracts Committee**

Date: _____

FORM 10

Regulation 60(5)

THE PUBLIC PROCUREMENT AND DISPOSAL OF PUBLIC ASSETS ACT, 2003

RECORD OF MINUTES OF PRE-BID MEETING

Procurement Reference Number			
Code of Procuring and Disposing Entity -	Supplies/Works/ Non-consultancy Services	Financial Year	Sequence Number

Particulars of Procurement	
Subject of Procurement	
Location of Pre-bid Meeting	
Date and Time of Meeting	

Record of pre-bid meeting to be sent to all bidders who purchased or were issued with the bidding documents.

RECORD OF ATTENDANCE				
No	Name and Address	Position	Company or Department	Signature

FORM 11

Regulation 69(3),(7), 70(7)

THE PUBLIC PROCUREMENT AND DISPOSAL OF PUBLIC ASSETS ACT, 2003

RECORD OF BIDS RECEIVED

Procurement Reference Number			
Code of Procuring and Disposing Entity	Supplies/Works/Non-consultancy Services	Financial Year	Sequence Number

Particulars of Procurement	
Subject of Procurement	
Deadline for Bid Submission (<i>date and time</i>)	
Location for Bid Closing	

FORM 12

Regulation 75(9),(10)

THE PUBLIC PROCUREMENT AND DISPOSAL OF PUBLIC ASSETS ACT, 2003

RECORD OF BID OPENING

Procurement Reference Number			
Code of Procuring and Disposing Entity-	Supplies/Works/ Non-consultancy Services	Financial Year	Sequence Number

Particulars of Procurement	
Subject of Procurement	
Date and Time of Bid Opening	
Location for Bid Opening	
Type of Opening Technical/Financial/ Combined Opening	

PART 1 - PARTICULARS OF BID OPENING

No	Name and Address of the Bidder	Currency and Price as Read out	Bid Security Received (Yes/No)	Bid Security Amount ¹ *	No of Copies	Power of Attorney ² *

Name: _____ Position: _____

Signature: _____ Date: _____

Name: _____ Position: _____

Signature: _____ Date: _____

PART II: RECORD OF ATTENDANCE

No	Name and Address	Position	Company or Department	Signature

SCHEDULE 2

Regulations 3(4), 17(2), 18(3), 19(3)

SUPPLIES TO BE PROCURED ONLY FROM MANUFACTURERS OR AUTHORISED AGENTS OF MANUFACTURERS

1. Aviation equipment including aircraft and installation, components and spare parts for these
2. Medical equipment and installation, components and spare parts for these
3. Agricultural and industrial equipment and installation, components and spare parts for these

MATIA KASAIJA,
Minister of Finance, Planning and Economic Development.